

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kazunobu KUWAZAWA

Serial No: 09/943,094

Filed: August 29, 2001

For: SEMICONDUCTOR DEVICE AND METHOD OF

MANUFACTURING THE SAME

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box MISSING PARTS Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated October 4, 2001, response to which is due December 4, 2001, enclosed are the following:

A copy of the "Notice to File Missing Parts of Application Filing Date Granted."

A "Declaration and Power of Attorney" executed by the inventor(s).

A check in the amount of \$1190.00 in payment of the filing fee.

A check in the amount of \$130.00 to cover the surcharge for late filing of the Declaration.

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted, Date: November 7, 2001

Lawrence J. McClure

Registration No. 44,228

Attorney for Applicant(s)

HOGAN & HARTSON L.L.P. Stille Landerstein.

Art Unit:

2812

I hereby certify that this correspondence

is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed

Examiner: Not assigned

Commissioner for Patents Washington D.C. 20231, on November 7, 2001

Date of Deposit

Name

Signature

Awrence J. McClure

500 South Grand Avenue, Suite 1900

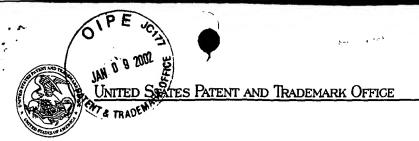
Los Angeles, California 90071

Phone: 213-337-6700 Fax: 213-337-6701

ling Date Granted."

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WI A - 81751/17 - #121745 v1



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/943,094

08/29/2001

Kazunobu Kuwazawa

81751.0017

CONFIRMATION NO. 7672

FORMALITIES LETTER

OC000000006843846

000026021 HOGAN & HARTSON L.L.P. 500 S. GRAND AVENUE SUITE 1900 LOS ANGELES, CA 90071-2611

Date Mailed: 10/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

- An application number and filing date have been accorded to this application. The item(s) indicated below,
- however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all
 required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by
 filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).
 - The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
 - Total additional claim fee(s) for this application is \$438.
 - \$198 for 11 total claims over 20.
 - \$240 for 3 independent claims over 3.
 - The oath or declaration is missing.
 - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
 - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
 - The balance due by applicant is \$ 1278.

9000

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY OF PAPERS ORIGINALLY FILED

14/2002 AGOITOM